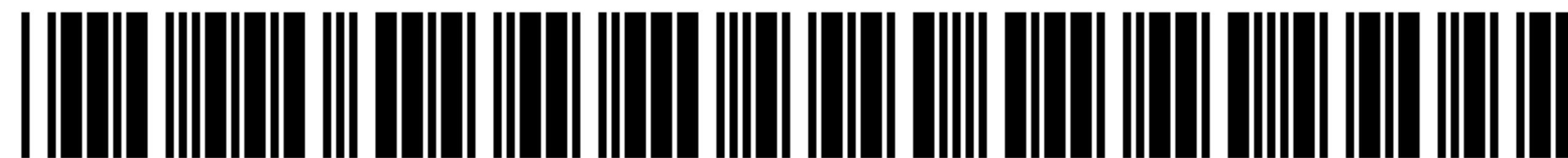


# **Exhibit E**



US011385415B2

(12) **United States Patent**  
**Nguyen et al.**

(10) **Patent No.:** **US 11,385,415 B2**  
(45) **Date of Patent:** **\*Jul. 12, 2022**

(54) **ALIGNMENT ADAPTER AND ALIGNMENT DESIGN FOR A CONNECTOR THEREFOR**

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(73) Assignee: **US Conec, Ltd.**, Hickory, NC (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

(21) Appl. No.: **17/145,864**

(22) Filed: **Jan. 11, 2021**

(65) **Prior Publication Data**

US 2021/0255403 A1 Aug. 19, 2021

#### Related U.S. Application Data

(60) Continuation of application No. 16/214,317, filed on Dec. 10, 2018, now Pat. No. 10,890,723, which is a continuation of application No. 15/855,750, filed on Dec. 27, 2017, now Pat. No. 10,156,684, which is a division of application No. 14/214,931, filed on Mar. 15, 2014, now Pat. No. 9,857,538.

(60) Provisional application No. 61/789,499, filed on Mar. 15, 2013.

(51) **Int. Cl.**  
**G02B 6/38** (2006.01)

(52) **U.S. Cl.**  
CPC ..... **G02B 6/3879** (2013.01); **G02B 6/3817** (2013.01); **G02B 6/3825** (2013.01); **G02B 6/3881** (2013.01); **G02B 6/3885** (2013.01)

(58) **Field of Classification Search**  
None  
See application file for complete search history.

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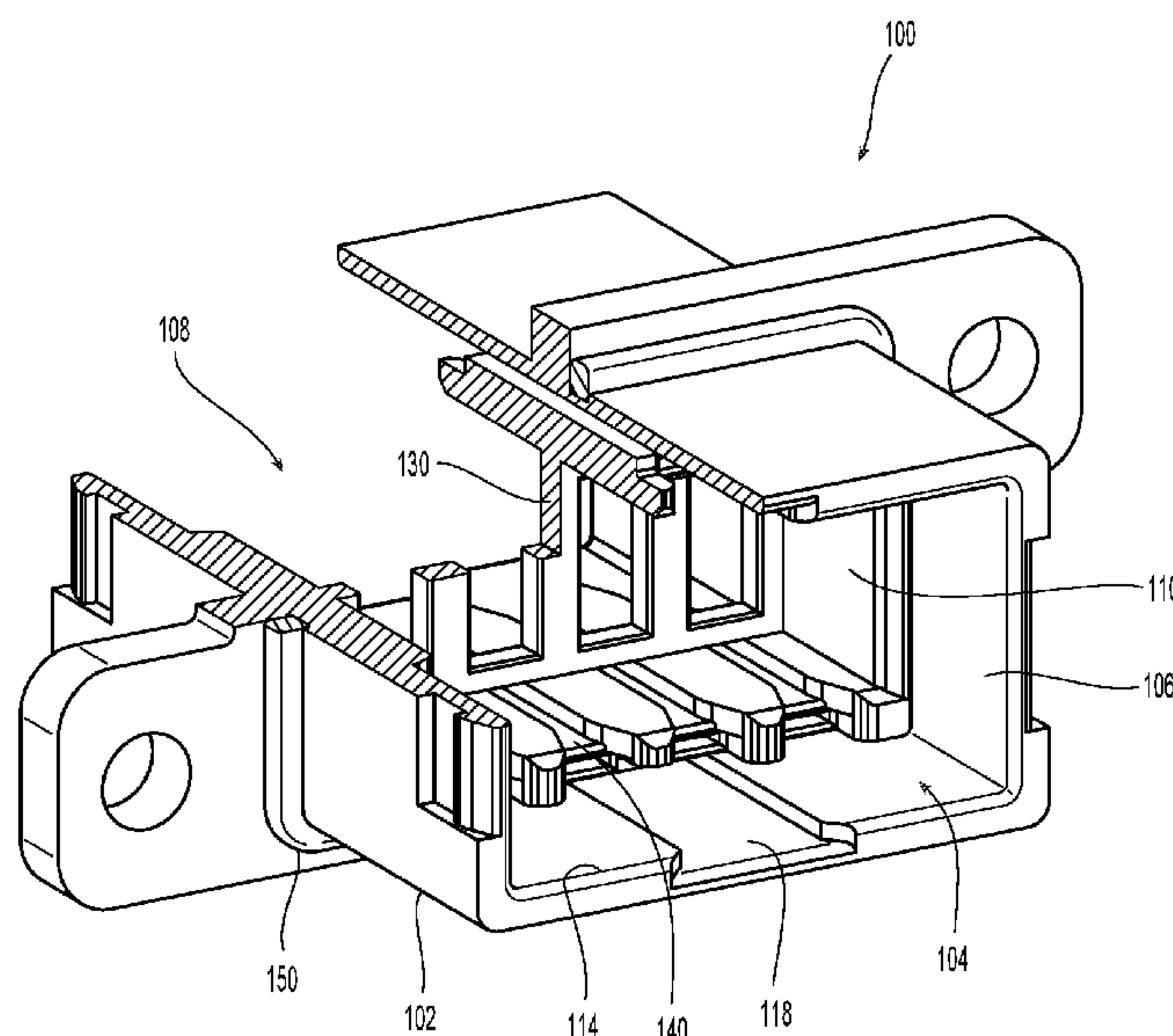
*Primary Examiner* — Omar R Rojas

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(57) **ABSTRACT**

An adapter with novel alignment features engages alignment features on a plug, providing general alignment of the ferrule holders and ferrules in the plug. After the plug engages the adapter, the ferrule holders engage a second set of alignment features in the adapter to provide fine alignment for the ferrules.

**14 Claims, 10 Drawing Sheets**



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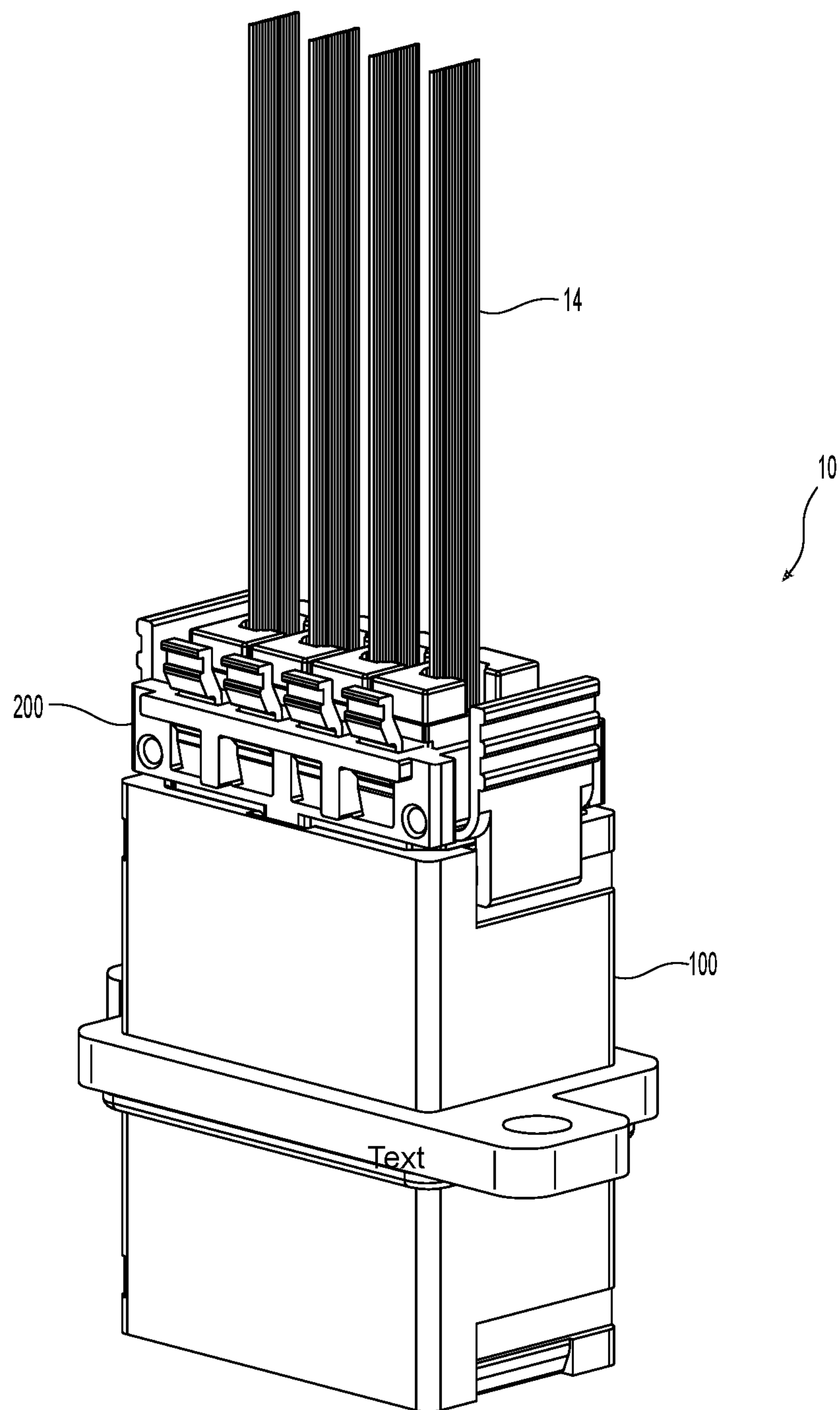
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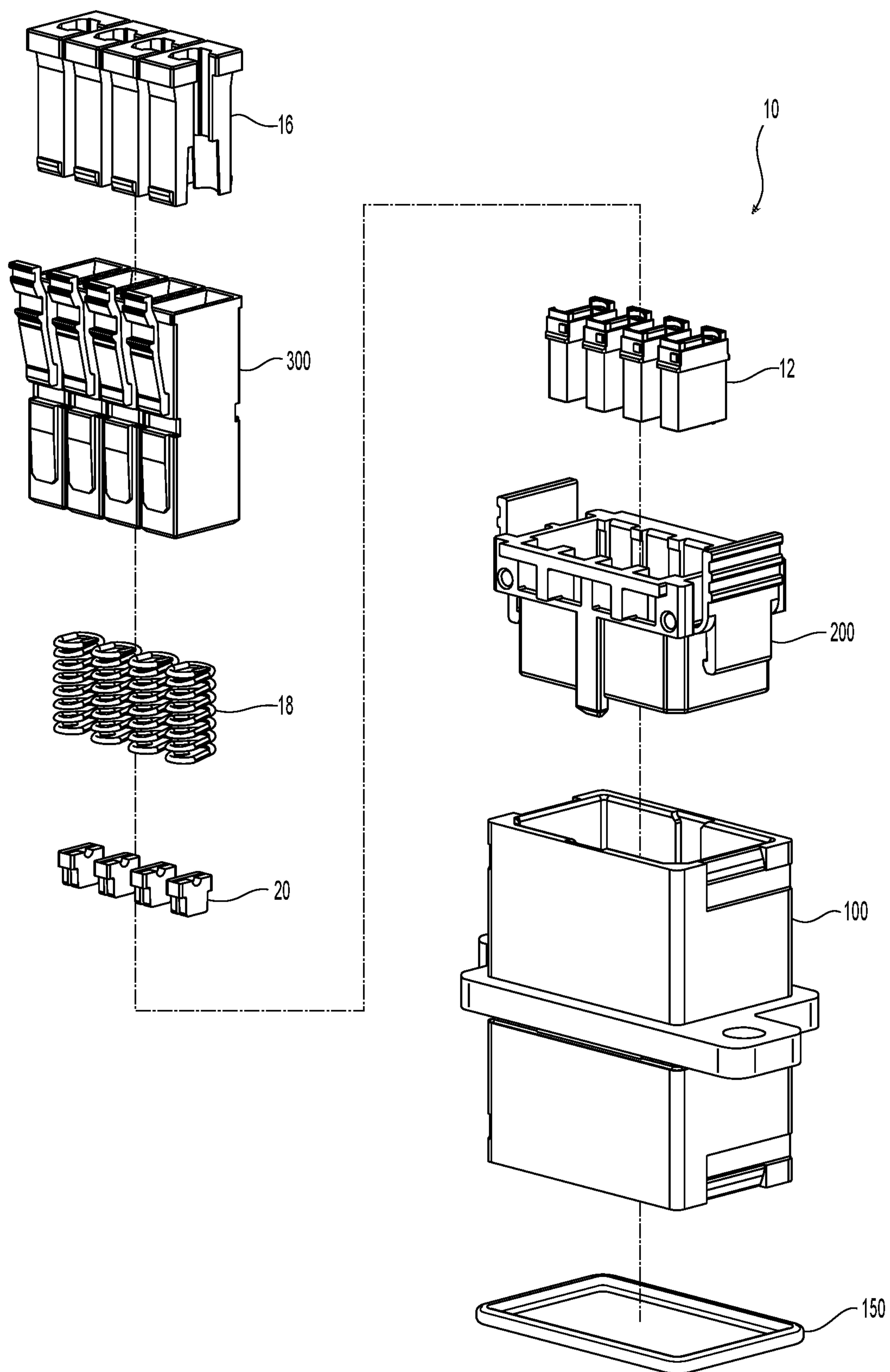
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**Fig. 1**



**Fig. 2**

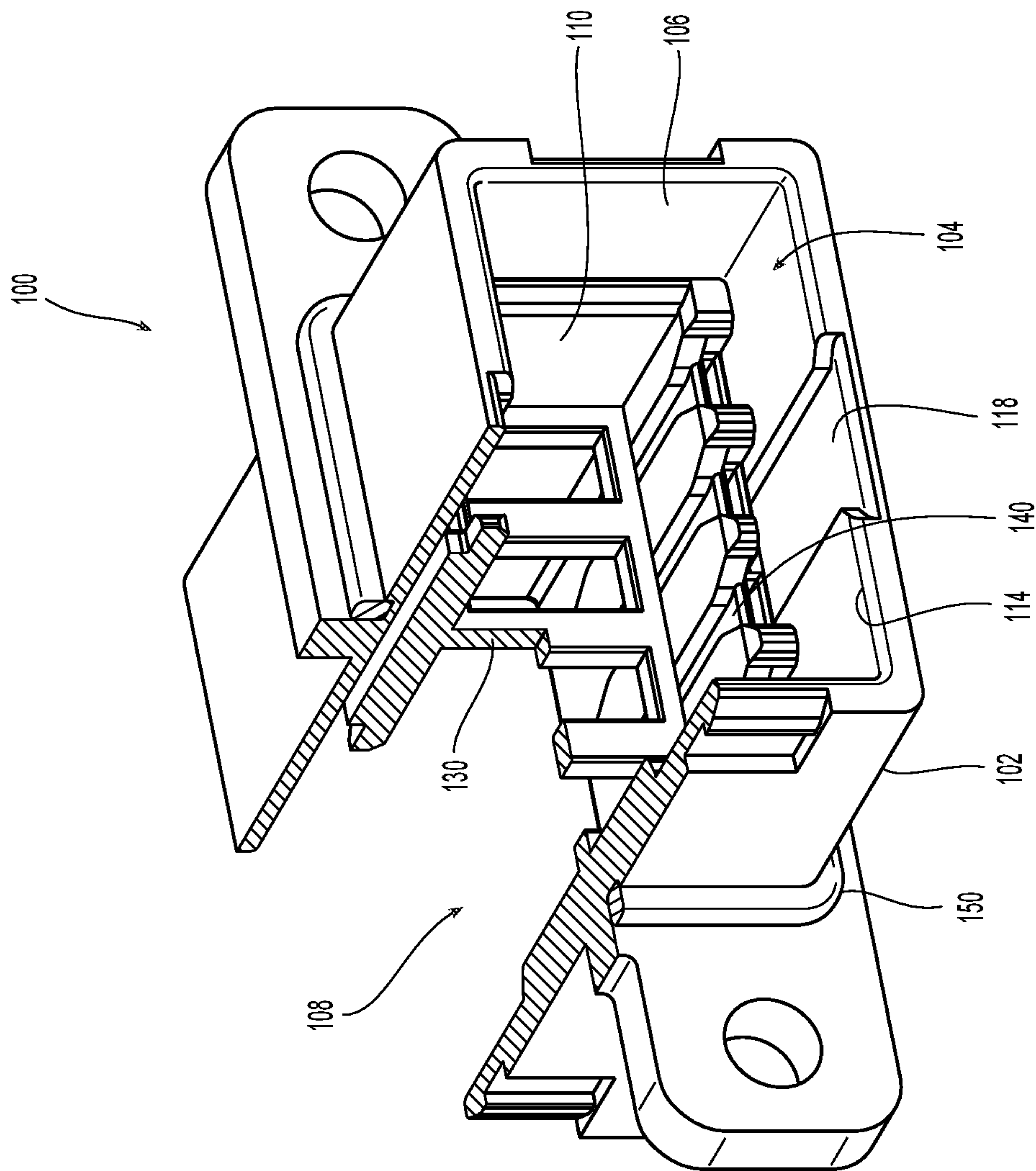


Fig. 3



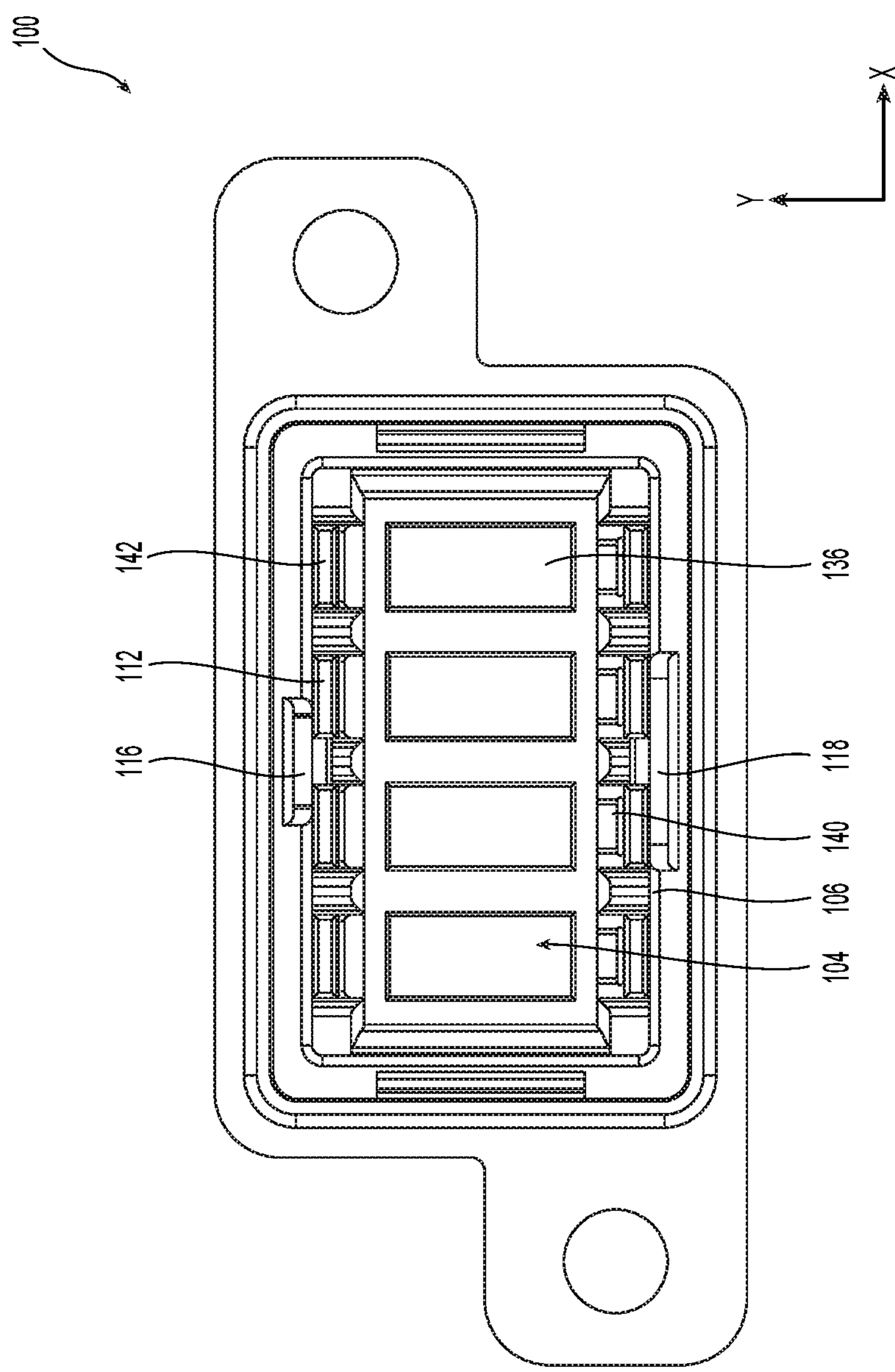
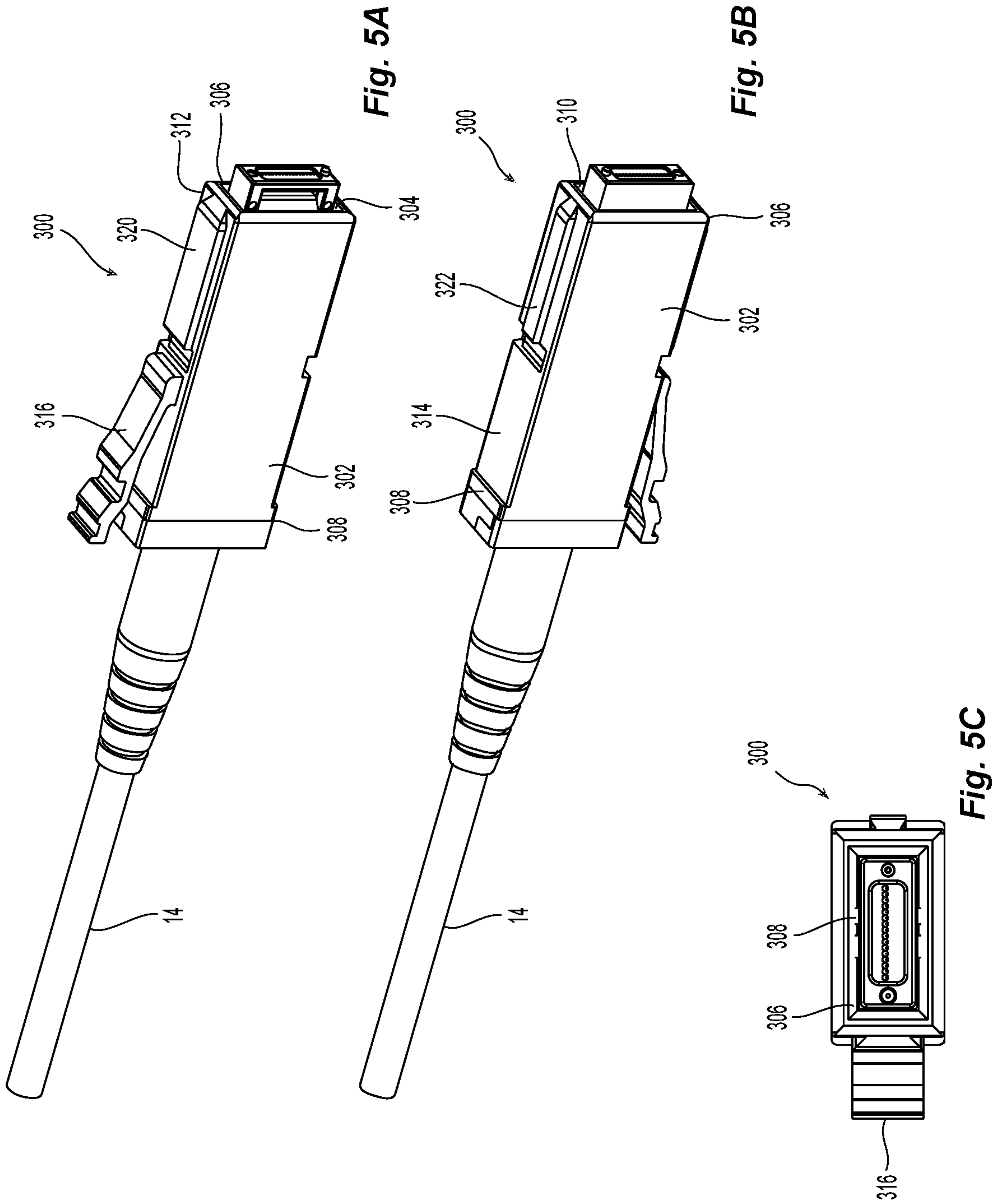
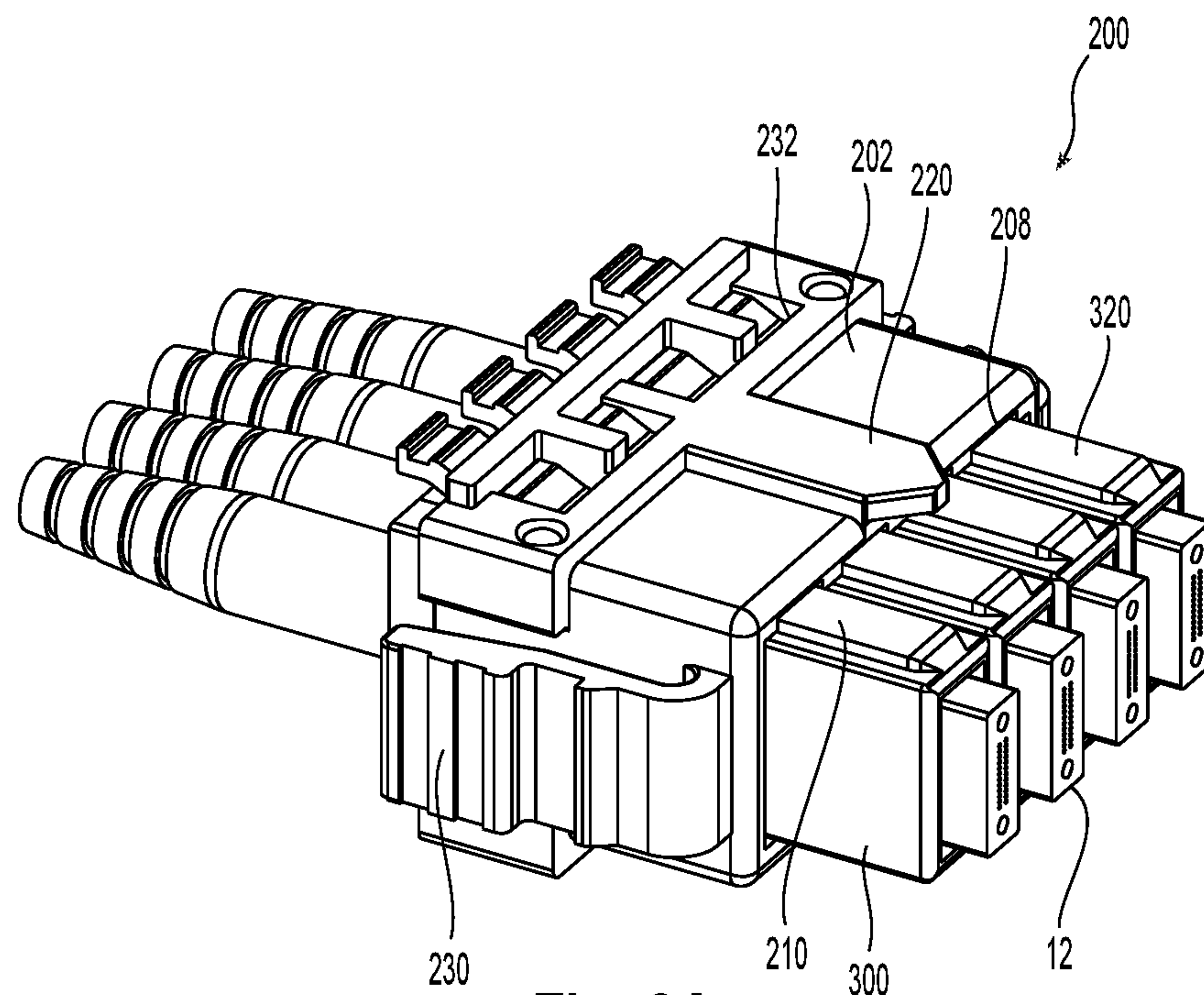


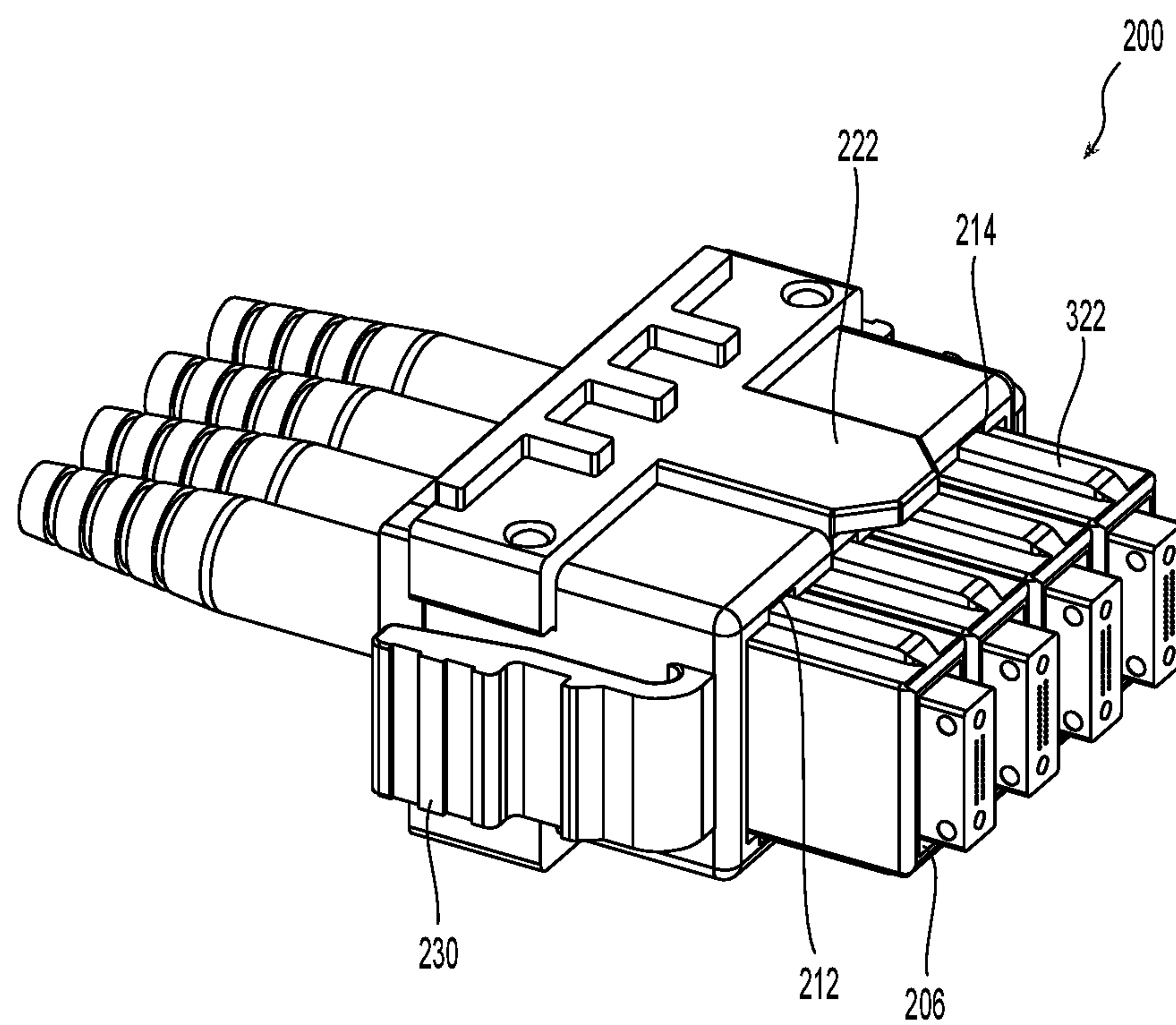
Fig. 4



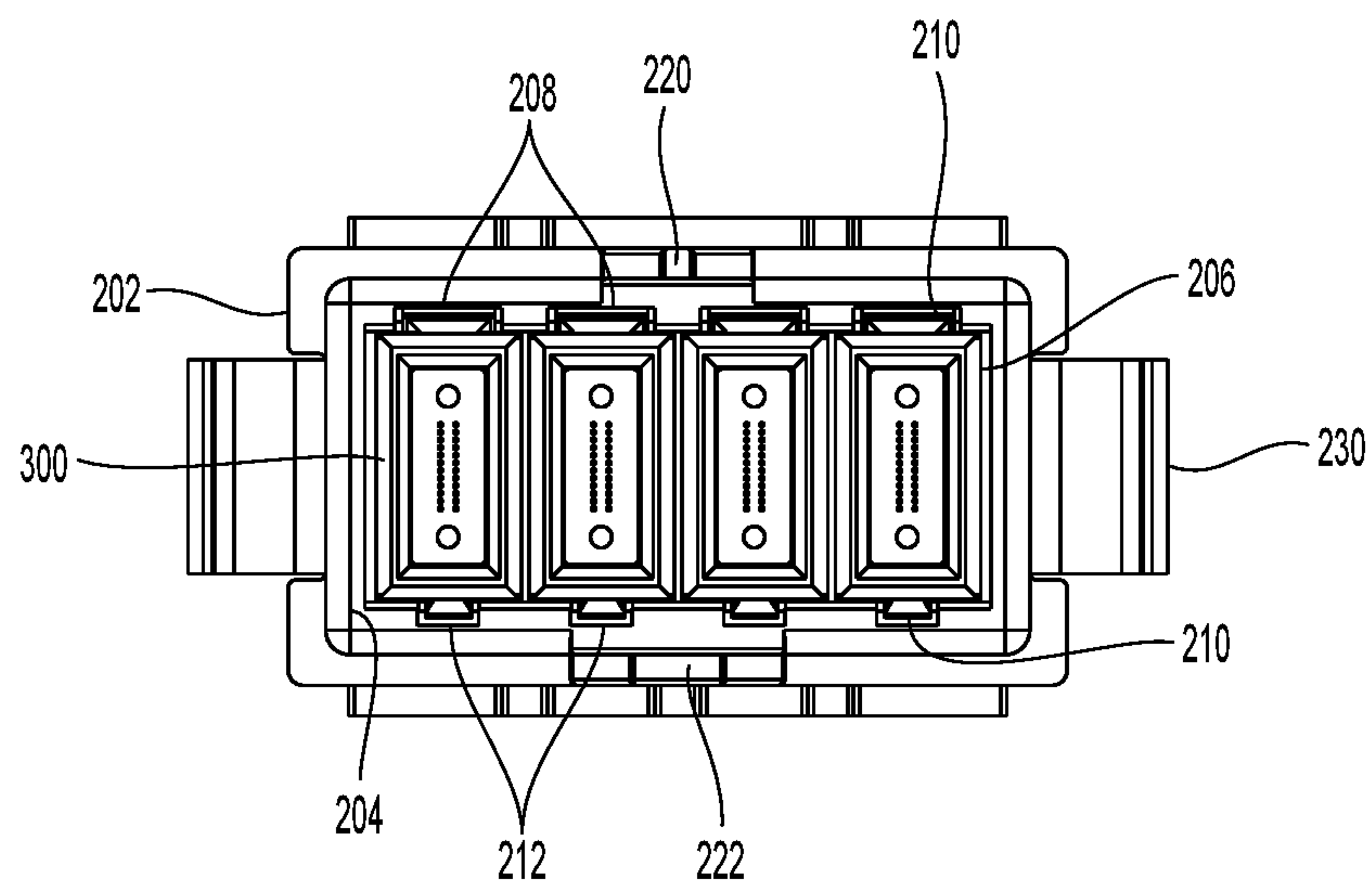




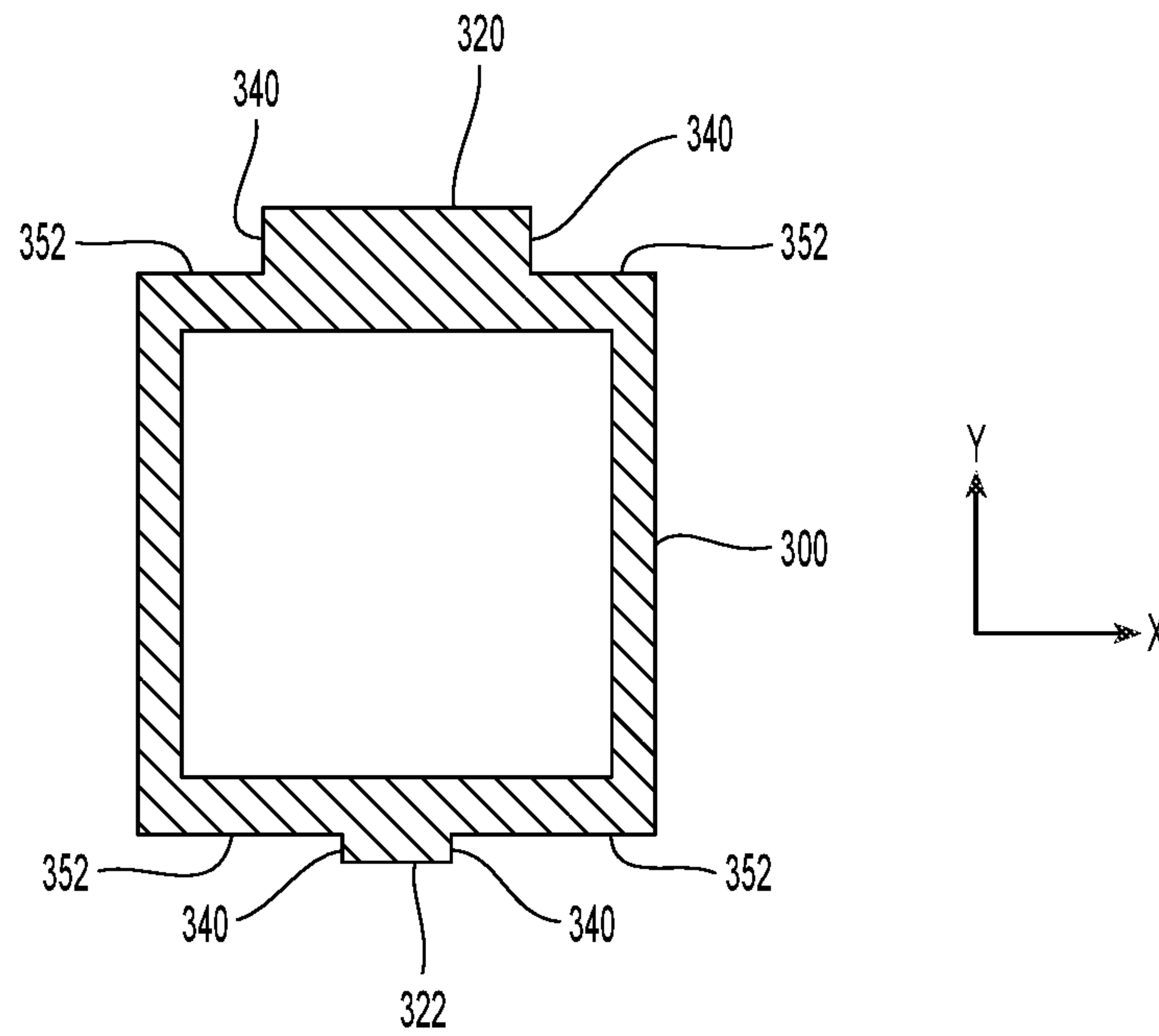
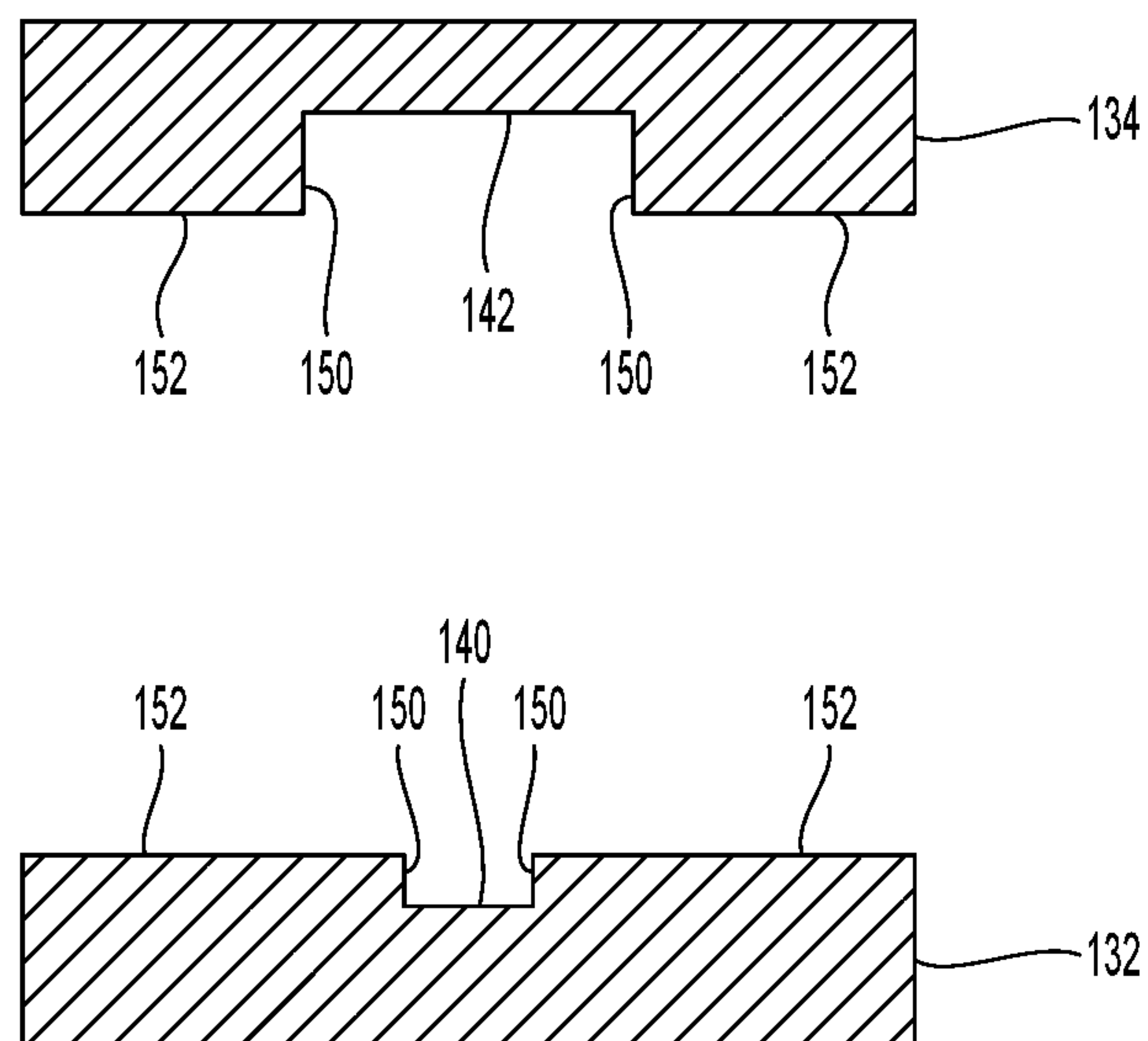
**Fig. 6A**



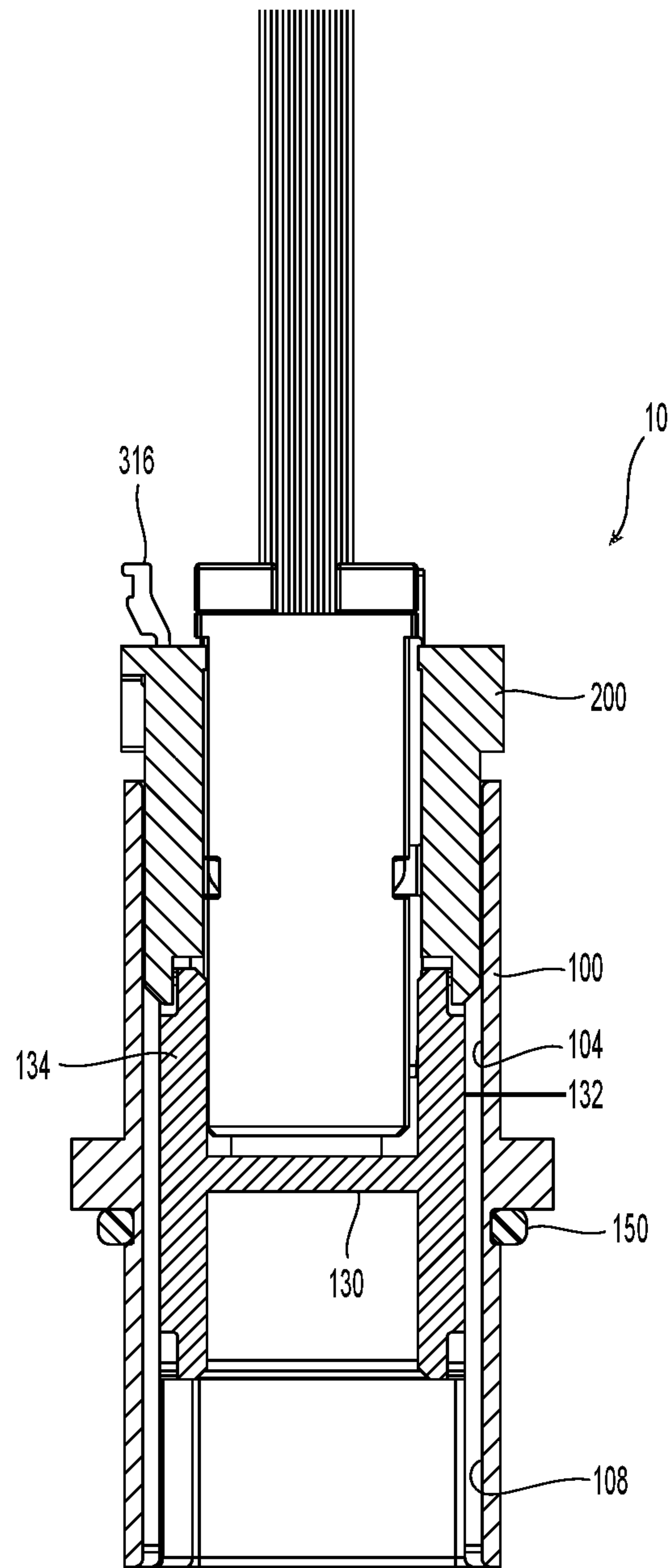
**Fig. 6B**



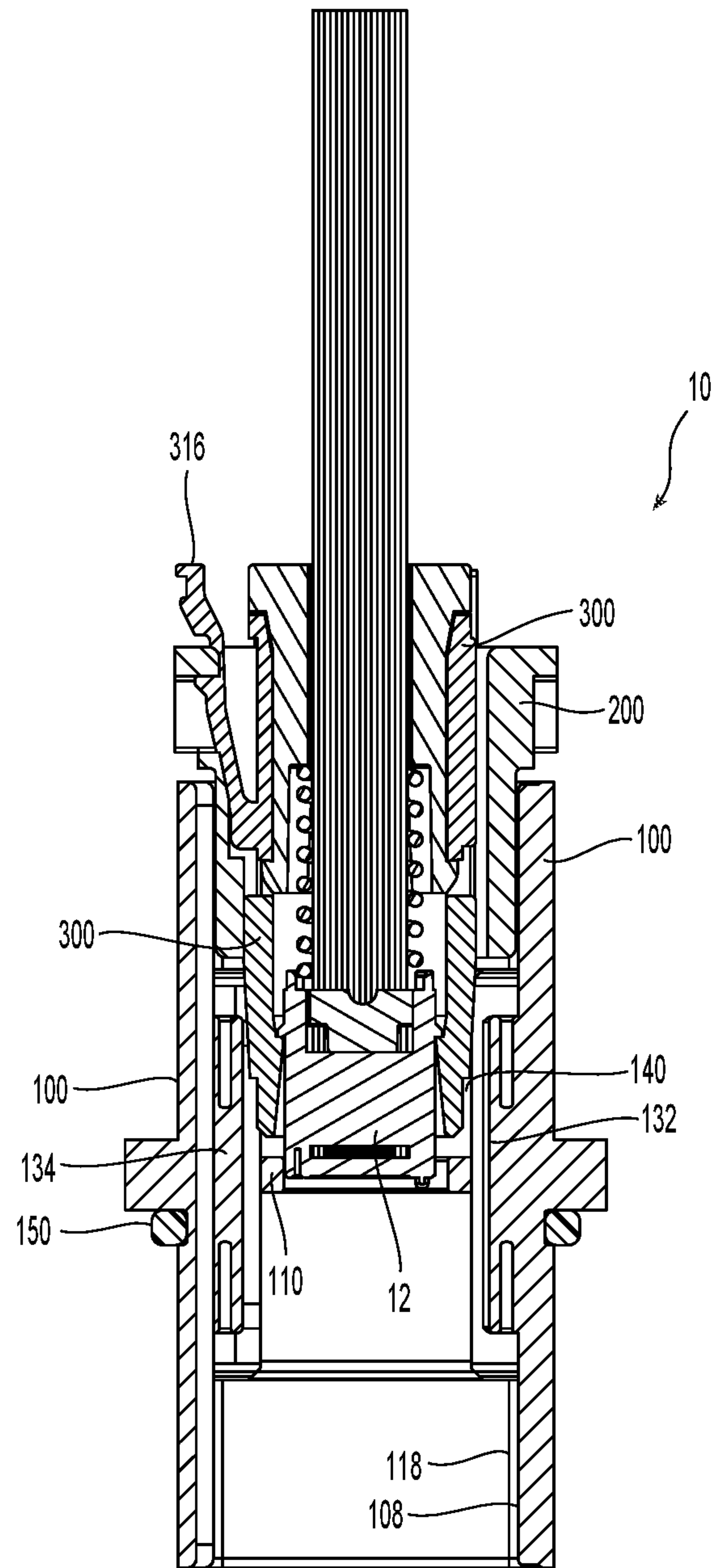
**Fig. 6C**

**Fig. 7A****Fig. 7B**





**Fig. 8**



**Fig. 9**



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**ALIGNMENT ADAPTER AND ALIGNMENT  
DESIGN FOR A CONNECTOR THEREFOR**

## REFERENCE TO RELATED CASE

This application claims priority under 35 U.S.C. § 119 (e) to provisional application No. 61/789,499 filed on Mar. 15, 2013, claims priority to U.S. patent application Ser. No. 14/214,931 filed on Mar. 15, 2014, now U.S. Pat. No. 9,857,538, under 35 U.S.C. § 120, claims priority to U.S. patent application Ser. No. 15/855,750, filed on Dec. 27, 2017, now U.S. Pat. No. 10,156,684, under 35 U.S.C. § 120, and also to U.S. patent application Ser. No. 16/214,317, filed on Dec. 10, 2018, to be issued as U.S. Pat. No. 10,890,723, under 35 U.S.C. § 120, the contents of which are hereby incorporated by reference in their entirety.

## BACKGROUND OF THE INVENTION

## Field of the Invention

There is a need for fiber optic connectors with fiber optic ferrules that are ganged together with a tight pitch between those fiber optic ferrules. Such a design allows for many fiber optic ferrules to be connected simultaneously while occupying less space. In addition, it allows the fiber optic ferrules to be organized in a prearranged routing of the optical fibers so that the complexity of fiber optic connector routing is reduced for the user. Typically, these ganged fiber optic ferrules are mounted into a single housing and the housings are then mated to one another. The alignment between a pair of ferrules to be mated is complex and requires tight tolerances because there is a tolerance stack-up that includes the true position of the fiber optic ferrule relative to the housing. By requiring a tight tolerance of the housing relative to the fiber optic ferrule, the designs are expensive to design, maintain, and to scale to various fiber optic ferrule counts. It is also difficult to scale to very large arrays of fiber optic ferrules within one housing due to the true position tolerance required for every fiber optic ferrule to the housings and also the larger distances from the fiber optic ferrules to the housings.

An alternative way to align the ferrules to each other is to align the ferrule holders to one another through features in the adapter. Rather than using the sides of the ferrule holder to align the ferrule holder to the adapter, top and bottom keys on the ferrule holder align the ferrule holder relative to the adapter in the x-direction. The orientation of the ferrule holder is controlled by the width difference between the top and the bottom key. The height of the ferrule holder aligns the ferrule holder in the y direction. A plug is used to assemble a plurality of the ferrule holders together. The ferrule holders protrude through the plug so the ferrule holder features can be aligned in the adapter after the plug engages the adapter and aligns the ferrule holders with their own structures. The alignment in the X and Y directions between the ferrule holder and the adapter are maintained within 100 microns, preferably within 50 microns. The ferrule holders are relatively loosely held within the plug so as to not over constrain the ferrule holders in the adapter (greater than 100 microns). The grooves within the adapter that aligns the ferrule holders is one continuous feature for both sides of the adapter and the mating ferrule holders. By making the groove one continuous feature for both sides, the alignment the mating ferrule holders is guaranteed. The size of the groove is critical but the true position of the alignment feature relative to the adapter-to-plug alignment feature is

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not critical. By using this alignment mechanism, large arrays of ferrule holders can be manufactured. Only the size of the alignment mechanism in the ferrule holder and the size of the alignment mechanism in the adapter must be maintained.

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Thus, an adapter and adapter assembly with novel alignment features is provided to engage with alignment features on a plug, which in turn assists in aligning the ferrule holders with alignment grooves in the adapter.

## SUMMARY OF THE INVENTION

The present invention is directed to an adapter for aligning fiber optic ferrules inserted from opposing sides that includes a main body having an opening extending between a first side and a second side, the first side and the second side separated by a central portion, at least one groove in a top surface and a bottom surface of the first side and the second side, and a plurality of grooves disposed in a top surface and the bottom surface of the central portion, each of the plurality of grooves extending through the central portion from the first side to the second side.

According to another aspect of the present invention, adapter assembly for aligning one plurality of optical ferrules to another plurality of optical ferrules includes an adapter having an opening extending between a first side and a second side, the adapter having a plurality of grooves in the opening and extending in a direction from the first side to the second side, a plurality of ferrule holders, each of the plurality of ferrule holders having a central opening extending from a first end to a second end to resiliently retain a fiber optic ferrule therein, each of the plurality of ferrule holders having an opening in first end and in communication with the central opening, the second end opposite the first end and through which optical fibers extend, each of the plurality of ferrule holders having a projection on opposite outside surfaces to engage a corresponding groove in the adapter, and a plug having an outside surface and an inside surface, the inside surface defining an opening through the plug to hold a plurality of ferrule holders therein adjacent one another, the plug having grooves in the inside surface to engage the projections from the plurality of ferrule holders to align and orient the ferrule holders in the plug.

In yet another aspect, a ferrule holder includes a main body having a central opening to resiliently retain a fiber optic ferrule therein, a central opening in the main body and extending from a first end to a second end to resiliently retain a fiber optic ferrule therein, the first end having an opening therein and in communication with the central opening, the second end opposite the first end and through which optical fibers extend, and a projection on opposite outside surfaces of the main body to engage a corresponding groove in an adapter.

The present invention is also directed to a fiber optic connector that includes a plurality of ferrule holders, each of the plurality of ferrule holders having a central opening extending from a first end to a second end to resiliently retain a fiber optic ferrule therein, the ferrule holder having an opening in first end in communication with the central opening, the second end opposite the first end and through which optical fibers extend, each of the plurality of ferrule holders having a projection on opposite outside surfaces to engage a corresponding groove in the adapter, and a plug having an outside surface and an inside surface, the inside surface defining an opening through the plug to hold a plurality of ferrule holders therein adjacent one another, the plug having grooves in the inside surface to engage the



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projections from the plurality of ferrule holders to align and orient the ferrule holders in the plug

Additional features and advantages of the invention will be set forth in the detailed description which follows, and in part will be readily apparent to those skilled in the art from that description or recognized by practicing the invention as described herein, including the detailed description which follows, the claims, as well as the appended drawings.

It is to be understood that both the foregoing general description and the following detailed description of the present embodiments of the invention, and are intended to provide an overview or framework for understanding the nature and character of the invention as it is claimed. The accompanying drawings are included to provide a further understanding of the invention, and are incorporated into and constitute a part of this specification. The drawings illustrate various embodiments of the invention and, together with the description, serve to explain the principles and operations of the invention.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of one embodiment of an adapter assembly according to the present invention;

FIG. 2 is an exploded view of the adapter assembly of FIG. 1;

FIG. 3 is a perspective view of a cut-away view of the adapter of FIG. 1;

FIG. 4 is a front elevational view of the adapter of FIG. 1;

FIG. 5A is a top perspective view of one embodiment of a ferrule holder and ferrule that can be used in the adapter assembly of FIG. 1;

FIG. 5B is a bottom perspective view of the ferrule holder and ferrule of FIG. 5A;

FIG. 5C is a front view of the ferrule holder and ferrule of FIG. 5A;

FIG. 6A is a top perspective view of a plug and ferrule holders according to the present invention;

FIG. 6B is a bottom perspective view of the plug and ferrule holders of FIG. 6A;

FIG. 6C is a front elevational view of the plug and ferrule holders of FIG. 6A;

FIG. 7A is a partial cross sectional view of a portion of a ferrule holder of FIG. 5;

FIG. 7B is a partial cross sectional view of a portion of the center portion of the adapter;

FIG. 8 is a cross sectional view of the adapter assembly in the center of the adapter assembly; and

FIG. 9 is a cross sectional view of the adapter assembly through a ferrule holder and ferrule.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Reference will now be made in detail to the present preferred embodiment(s) of the invention, examples of which are illustrated in the accompanying drawings. Whenever possible, the same reference numerals will be used throughout the drawings to refer to the same or like parts.

Referring to FIGS. 1 and 2, one embodiment of an adapter assembly 10 is illustrated. The adapter assembly 10 includes an adapter 100, a plug 200 and a ferrule holder 300. The adapter assembly 10 is used to align ferrules 12 to other ferrules in a tightly controlled manner and in a tighter space than has been possible before. The ferrules 12 are typically multi-fiber ferrules, particularly MT type ferrules, but the

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present invention may include other types of ferrules. The ferrules 12 are disposed within the ferrule holder 300 and have optical fibers 14 secured therein, which may be loose optical fibers, ribbonized optical fibers, or optical fibers in any other configuration. The ferrules 12 are resiliently held in the ferrule holders 300 using a spring push 16 that engages a spring 18. The spring 18 then presses on a pin keeper 20, as is known in the art, to bias the ferrules 12 forward in the ferrule holder 300. As is described in more detail below, the ferrules 12 are held somewhat loosely within the ferrule holder 300 so that they can move relative to the ferrule holder 300 to align with other ferrules 12 that are inserted in the adapter 100 from the other side. Similarly, the ferrule holders 300 are inserted into the plug 200 and they are also loosely held in the plug 200. That is, there is typically more than 100 microns of movement in the x and y directions (See FIG. 4) available to the ferrule holder 300 in the plug 200, as explained in more detail below.

As illustrated in FIGS. 5A-C, the ferrule holder 300 has a main body 302 with a central opening 304 that extends from a first end 306 to a second end 308 to house the fiber optic ferrules 12. The first end 306 has an opening 310 therein to allow access to the ferrule 12. The ferrule 12 may or may not exit the main body 302. That is, the ferrule 12 may extend beyond the main body 302 as shown in the figures or it may be flush with the first end 306. second end 308 allows for the optical fibers 14 (shown in FIGS. 5A and 5B to have a boot and a covering) to exit the ferrule holder 300. The ferrule holder 300 has a top surface 312 and a bottom surface 314, with a cantilevered latch 316 to engage the plug 200. The top surface 312 has a projection 320 and the bottom surface 314 has a projection 322. The projections 320,322 are preferably elongated and have a chamfered front end. The projections 320,322 are used to engage grooves in the plug 200 and the adapter 100 to position the ferrule holders 300 with precision in the adapter 100. The projection 320 has a width that is different that the width of projection 322 so as to act as a key in inserting the ferrule holder 300 in the plug 200. While the projection 320 is wider than projection 322, it could be opposite in that projection 320 is more narrow than projection 322. The projections 320,322 are preferably on the top and the bottom surfaces 312,314 of the ferrule holder 300 to allow, as illustrated below, more ferrule holders to be inserted into the adapter 100 and the plug 200. The projections 320,322 are used to position the ferrules 12 in the adapter in the x and y directions, eliminating the need for side walls to position the ferrule holders 300 and the ferrules 12.

The plug 200 will now be described relative to FIGS. 6A-6C. The plug 200 has an outside surface 202 and an inside surface 204. The inside surface 204 defines an opening 206 therethrough to allow the ferrule holders 300 to be inserted therein. The inside surface 204 has a plurality of grooves 208 on a top side 210 and a corresponding plurality of grooves 212 on the bottom side 214. The grooves 208 and 212 are dimensioned to accept the projections 320,322 from the ferrule holders 300. It should be noted that the ferrule holders 300 are adjacent to one another, that is side-by-side without any material from the plug 200 therebetween. Applicant means that by using the term adjacent herein, there is no structure that comes between the neighboring ferrule holders 300.

The outside surface 202 also has a projection 220 on the top of the plug 200, seen in FIG. 6A, and also a projection 222 on the bottom of the plug 200, seen in FIG. 6B. Again, as with the projections 320,322, projection 220 has a width that is different that the width of projection 222 so as to act



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as a key in inserting the plug **200** into the adapter **100**. While the projection **220** is narrower than projection **222**, it could be opposite in that projection **220** is wider than projection **222**.

The plug **200** also has two cantilevered latches **230** on the sides to engage corresponding structure on the adapter **100**. There are also latch receptacles **232** on the top side of the plug **200** to engage the latches **316** of the ferrule holders **300**.

As noted above, the grooves **208** and **212** in plug **200** are dimensioned to accept the projections **320,322** from the ferrule holders **300**. There is sufficient movement in the ferrule holders **300** relative to the plug **200** that when the plug **200** and ferrule holders **300** as assembled is inserted into the adapter **100**, that the ferrule holders **300** can move to allow the projections **320,322** from the ferrule holders **300** to engage corresponding grooves in the adapter **100** as described below in more detail.

Returning to FIGS. **3** and **4**, the adapter **100** is illustrated in more detail. The adapter **100** has a main body **102** with an opening **104** extending between a first side **106** and a second side **108**. The first side **106** and a second side **108** are separated by a central portion **110**. The first side **106** has a top surface **112** and a bottom surface **114**. The top surface **112** has a groove **116** and the bottom surface **114** has a groove **118**. The grooves **116,118** correspond to the projections **220,222** from the plug **200**. The location of the wider groove in the adapter **100** will correspond with the wider projection on the plug **200**.

The adapter has the center portion **110**. Center portion **110** preferably has a wall **130** that extends across the opening **104** in the adapter **100** and divides the center portion **110** in half. The center portion **110** also has a raised bottom portion **132** and a dropped top portion **134** that narrows the opening **104** in the center portion **110**. The wall **130** preferably extends from the raised bottom portion **132** and a dropped top portion **134** or it may be excluded completely if there is no EMI concerns with the adapter **100**. The wall **130** has a plurality of openings **136** that are sized to allow the ferrules **12** to pass therethrough to engage a corresponding ferrule **12**. As noted above, if the ferrules **12** only extend to the end of the ferrule holder **330**, then the openings **136** should be sized to allow the ferrule holders to also extend there-through. The adapter **100** may also have an elastomeric gasket **150** that encircles an external surface of the adapter **100** to provide EMI shielding.

The raised bottom portion **132** and a dropped top portion **134** also have a plurality of grooves **140, 142**, respectively, that extend completely across the central portion **110**. The grooves **140, 142** are like those in the plug **200**, in that they are to engage the projections **320,322** from the ferrule holders **300**. The grooves **140,142** preferably extend completely across the center portion **110** as they are formed with the same insert in the mold. Therefore, the grooves **140,142** are sure to align the ferrule holders **300** on each side of the adapter **100** as they are inserted into the adapter **100**.

As the plug **200** with the ferrule holders **300** positioned therein are inserted into the adapter **100**, the projections **220,222** from the plug **200** engage the grooves **116,118** on the top surface **112** and the bottom surface **114** to roughly align the ferrule holders **300** with the center portion **110** and the plurality of grooves **140** in particular. Then, as the plug **200** is inserted farther into the adapter **100**, the projections **320,322** from the ferrule holders **300** engage plurality of grooves **140, 142** to align the ferrule holders **300** with the adapter and the openings **136** in the wall **130**. When a plug

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**200** is inserted on the second side, the ferrules in the ferrule holders on the other side will be in alignment with the first side.

The position of the ferrule holders **300** on the first side **106** of the adapter relative to the ferrule holders **300** on the second side **108** is what allows the optical ferrules to be aligned with one another. There is no need, as discussed above, to worry about the stacking of tolerances as in the prior art. The positioning of the projections **320,322** on the ferrule holders **300** relative to the plurality of grooves **140, 142** is important. With reference to FIGS. **7A** and **7B**, the projections **320,322** are used to control the x and y locations of the ferrule holders **300**. The surfaces **340** of the projections **320,322** (FIG. **7A**) align with the surfaces **150** in the adapter (FIG. **7B**) to control the x direction of the ferrule holder **300** relative to the adapter **100**. The surfaces **352** of the ferrule holder **300** align with the surfaces **152** of the raised bottom portion **132** and the dropped top portion **134** of the center portion **110** to control they direction of the ferrule holder **300** relative to the adapter **100**. The surfaces of the projections **320,322** could also align in the y direction, given that they are parallel to the surfaces **352**.

A cross section of the adapter assembly **10** is illustrated in FIG. **8** through the center of the adapter assembly. This illustrates the projections **220,200** in the openings **116,118** of the adapter **100**. FIG. **9** is a cross sectional view of the adapter assembly **10** through a ferrule holder and ferrule illustrating the engagement of the projections **320,322** in the openings **140, 142**.

It will be apparent to those skilled in the art that various modifications and variations can be made to the present invention without departing from the spirit and scope of the invention. Thus it is intended that the present invention cover the modifications and variations of this invention provided they come within the scope of the appended claims and their equivalents.

We claim:

1. An adapter for aligning a first fiber optic ferrule inserted from a first side and a second fiber optic ferrule inserted from a second side comprising:

a main body having an opening defined by two opposing side walls, a top surface and a bottom surface, the opening extending between the first side and the second side and having a central portion therebetween; and

a plurality of grooves disposed in a second top surface and a plurality of grooves disposed in a second bottom surface of the central portion, the second top surface and the second bottom surface being different from the top surface and the bottom surface in the main body, each of the plurality of grooves extending toward a center of the main body.

2. The adapter according to claim 1, further comprising a groove in one of the top surface and the bottom surface.

3. The adapter according to claim 1, further comprising a groove in each of the top and the bottom surfaces.

4. The adapter according to claim 1, wherein the opening is smaller in the center of the main body than on the first side and second side.

5. The adapter according to claim 1, wherein the first side and second side are configured to receive a plurality of fiber optic ferrules.

6. The adapter according to claim 1, further comprising a wall disposed within and across the central portion of the main body and between the first side and the second side, the wall having at least one passage therein to allow at least a portion of the fiber optic ferrules inserted from the first side and second side to pass therethrough.



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7. The adapter according to claim 6, wherein the at least one passage comprises a plurality of passages, the plurality of passages corresponding to the number of fiber optic ferrules.

8. An adapter for fiber optic connectors with a multi-fiber ferrule, the adapter comprising:

an opening extending between a first side and a second side of the adapter, each of the first side and the second side respectively defined by two opposing side walls, a top surface and a bottom surface, the opening configured to receive at least two fiber optic connectors from the first side; and

configured to receive at least two additional fiber optic connectors from the second side to mate with the at least two fiber optic connectors on the first side; and

a central portion between the first side and the second side and having a plurality of grooves disposed in a second top surface and a plurality of grooves disposed in a second bottom surface of the central portion, the second top surface and the second bottom surface being different from the respective top surface and the bottom surface of the first side and the second side, each of the plurality of grooves extending toward a center of the adapter.

9. The adapter according to claim 8, wherein the first side and the second side of the opening are in communication with one another in the central portion, the opening being smaller in the central portion than on the first side and the second side.

10. The adapter according to claim 8, wherein the first and second sides are configured to receive a plurality of fiber optic connectors.

11. The adapter according to claim 8, wherein the plurality of grooves in the second top surface of the central portion

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have a first width and the plurality of grooves in the second bottom surface of the central portion have a second width, and the first and second widths are different.

12. An adapter for holding fiber optic connectors, the adapter comprising:

a main body having a width and a height and an opening extending longitudinally from a first side to an opposing second side, the main body comprising two opposing side walls spaced apart along the width and a first top wall and a first bottom wall spaced apart along the height, each of the first top wall and the first bottom wall extending widthwise between the two opposing side walls,

the first top wall having first internal grooves spaced apart along the width and the first bottom wall having second internal grooves spaced apart along the width,

each of the first internal grooves having a first width and each of the second internal grooves having a second width, the first width is greater than the second width, and the adapter is free of internal walls between a space for two fiber optic connectors adjacent one another on a same side of the adapter in the opening between the opposing side walls along the width and between the first top wall and the first bottom wall along the height.

13. The adapter according to claim 12, wherein the first side and the second side are configured to receive a plurality of fiber optic connectors.

14. The adapter according to claim 12, further comprising a wall disposed within and across the central portion of the main body and between the first side and the second side, the wall having at least one passage therein to allow at least a portion of the fiber optic ferrules inserted from the first side and second side to pass therethrough.

\* \* \* \* \*



UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**


PATENT NO. : 11,385,415 B2  
APPLICATION NO. : 17/145864  
DATED : July 12, 2022  
INVENTOR(S) : Hiep V. Nguyen et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Claims

Column 7, Line 12: “side; and” should be --side and--.

Signed and Sealed this  
Thirtieth Day of August, 2022  
  
Katherine Kelly Vidal  
*Director of the United States Patent and Trademark Office*